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**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA**

WILLIAM MONDIGO and  
RICHARD FAMIGLIETTI,  
*individually and on behalf of all others  
similarly situated,*

Plaintiffs,

v.

EPSON AMERICA, INC.

Defendant.

Case No. **'19CV2009 BEN BGS**  
CLASS ACTION COMPLAINT

**JURY TRIAL DEMANDED**

Plaintiffs William Mondigo and Richard Famiglietti, individually and on behalf of all others similarly situated, bring this Complaint against Epson America, Inc., ("Epson" or "Defendant") and allege as follows:

**I. NATURE OF THE CASE**

1. This is a class action brought to seek redress on behalf of all persons and entities who purchased an Epson Printer and suffered harm as result of Epson's anti-competitive, unfair, fraudulent and oppressive and illegal conduct.

2. Specifically, Epson engaged, and continues to engage, in a systematic campaign of disabling Epson printers when the owner attempts to use non-Epson ink cartridges in an effort to improperly and illegally quash competition from third-party manufacturers. To carry out this scheme, Epson designed and delivered software and/or firmware Updates to Epson printers that purposely disabled those printers with non-Epson printer cartridges installed. For many users, these software updates effectively ruined their printers. For others, the updates forced them to purchase Epson ink cartridges, which are significantly more expensive than third-party cartridges.

3. There is nothing inherently wrong with the third-party ink cartridges that causes them to fail or that precludes their use in Epson printers. Indeed, these cartridges function without issue on Epson printers that do not have the Updates installed.

4. Epson never informed Epson printer owners that the Updates would prevent their printers from working if they had third-party ink cartridges installed. To the contrary, the Epson Software License informs consumers that the software and/or firmware Updates will improve the printers and fix known issues.

5. Epson's actions violate the federal Computer Fraud and Abuse Act ("CFAA"), 18 U.S. C. § 1030, the Connecticut Unfair Trade Practices Act ("CUTA"), the California Unfair Competition Law ("UCL"), Cal. Bus. & Prof. Code §§ 17200, *et seq.*, the California False Advertising Law ("FAL"), Cal. Bus. & Prof. Code §§ 17500, *et seq.* and California Computer Penal Code § 502 (Unauthorized access to computers, computer systems and computer data).

## **II. PARTIES**

### **Plaintiffs**

6. Plaintiffs William Mondigo purchased and owns an Epson WorkForce WF-3640 All-in-One Printer. He is a resident of San Diego, California. Plaintiffs Mondigo carefully reviewed the printer specifications before he chose to purchase

1 the Epson WorkForce WF-3640 All-in-One Printer. The specifications did not  
2 disclose that Epson would disable the printer if he used third-party ink cartridges.

3 7. Plaintiffs Richard Famiglietti purchased and owns an Epson XP-830  
4 Small-in-One® printer. He is a resident of Waterbury, Connecticut. Plaintiffs  
5 carefully reviewed the printer specifications before he chose to purchase the Epson  
6 XP-830 Small-in-One® printer. The specifications did not disclose that Epson  
7 would disable the printer if he used third-party ink cartridges.

### 8 **Defendant**

9 8. Defendant Epson America, Inc. is headquartered in Long Beach,  
10 California. Epson is the American subsidiary of Seiko Epson Corporation, a  
11 Japanese corporation. Epson America, Inc. is incorporated in the State of California.  
12 Epson America, Inc. is responsible for selling and marketing Epson printers in the  
13 United States.

14 9. information and belief, Epson's conduct emanated from its  
15 headquarters in Long Beach, California and the Epson employees/personnel  
16 responsible for this conduct are located at Epson's California headquarters.

### 17 **III. JURISDICTION AND VENUE**

18 10. This Court has federal question subject-matter jurisdiction pursuant to  
19 18 U.S.C. § 1331 because Plaintiffs alleges that Epson violated the Computer Fraud  
20 and Abuse Act, 18 U.S.C. § 1030 *et seq.*

21 11. This Court has subject-matter jurisdiction pursuant to the Class Action  
22 Fairness Act, 28 U.S.C. § 1332(d) in that the matter in controversy exceeds the sum  
23 or value of \$5,000,000, exclusive of interest and costs, there are at least 100 members  
24 of the proposed class, and at least one member of the class is a citizen of a different  
25 state than Defendant. Further, greater than two-thirds of the members of the Classes  
26 resides in states other than the state in which Defendant is a citizen.

27 12. This Court also has supplemental jurisdiction over the state law claims  
28 pursuant to 28 U.S.C. § 1367(a) because all the claims alleged form part of the same

1 case or controversy.

2 13. Venue is proper in this district pursuant to 28 U.S. C. §§ 1391(b)(2) and  
3 1391(d) because a substantial part of the events and omissions giving rise to the  
4 claims emanated from activities within this District.







#### 5 **IV. FACTUAL ALLEGATIONS**






6 14. Epson markets and sells printers and Epson ink cartridges in the United  
7 States. Consumers can purchase printers directly from Epson or directly from  
8 retailers such as Staples, Best Buy, Walmart, Amazon and others.

9 15. The printers, usually, come with ink cartridges but those ink cartridges  
10 will eventually need to be replaced as the printer is used. The sale of replacement  
11 ink cartridges is an important source of revenue and profit for Epson as Epson ink  
12 cartridges range in price from approximately \$10 to \$150 or more for high-end  
13 printers. In many cases, the cost of replacement cartridges over the life of a printer  
14 is significantly larger than the cost of the printer itself.

15 16. Original Equipment Manufacturer (“OEM”) ink cartridges for the  
16 Epson XP-830, ink code 410, cost approximately \$12.99 for a single black, cyan,  
17 magenta or yellow ink cartridge. A set of four standard ink cartridges typically costs  
18 approximately \$48.29.<sup>1</sup> A 410XL capacity ink cartridge for the XP-830 costs  
19 between \$18.99 and \$24.99. As shown in the graphic below, a set of four XL  
20 cartridges costs between \$75.96 (four (4) cartridges at \$18.99 each) to \$82.96 (three  
21 (3) cartridges at \$18.99 and one (10 cartridge at \$24.99):

22  
23  
24  
25  
26  
27  
28 <sup>1</sup> Epson, Epson Expression Premium XP-830 Small-in-One All-in-One Printer Ink, <https://epson.com/InkFinder/i/C11CE78201> (last accessed Oct. 14, 2019).

Color	Ink Code	Our Price	Qty	Change Qty
 Epson 410, Black Ink Cartridge	410	\$12.99	0	<a href="#">+</a> <a href="#">-</a>
 Epson 410, Photo Black Ink Cartridge	410	\$12.99	0	<a href="#">+</a> <a href="#">-</a>
 Epson 410, Cyan Ink Cartridge	410	\$12.99	0	<a href="#">+</a> <a href="#">-</a>
 Epson 410, Magenta Ink Cartridge	410	\$12.99	0	<a href="#">+</a> <a href="#">-</a>
 Epson 410, Yellow Ink Cartridge	410	\$12.99	0	<a href="#">+</a> <a href="#">-</a>
 Epson 410, Photo Black and Color Ink Cartridges, C/M/Y and Photo Black 4-Pack	410	\$48.29	0	<a href="#">+</a> <a href="#">-</a>

Color	Ink Code	Our Price	Qty	Change Qty
 Epson 410XL, Black Ink Cartridge, High Capacity	410XL	\$24.99	0	<a href="#">+</a> <a href="#">-</a>
 Epson 410XL, Photo Black Ink Cartridge, High Capacity	410XL	\$18.99	0	<a href="#">+</a> <a href="#">-</a>
 Epson 410XL, Cyan Ink Cartridge, High Capacity	410XL	\$18.99	0	<a href="#">+</a> <a href="#">-</a>
 Epson 410XL, Magenta Ink Cartridge, High Capacity	410XL	\$18.99	0	<a href="#">+</a> <a href="#">-</a>
 Epson 410XL, Yellow Ink Cartridge, High Capacity	410XL	\$18.99	0	<a href="#">+</a> <a href="#">-</a>

17. Third-party ink cartridges for the Epson XP-830 cost substantially less. For instance, on Amazon, it is possible to purchase a 5-pack of 410XL Lemero remanufactured ink cartridges for only \$34.99.<sup>2</sup>



Lemero Remanufactured Ink Cartridge Replacement for Epson 410XL ( Black,Cyan,Magenta,Yellow , 5-Pack ) by Lemero








\$34.99    
 FREE Delivery by Sat, Nov 3

★★★★☆ 48

Save \$2.00 with coupon

<sup>2</sup> Amazon, [https://www.amazon.com/s?k=Epson+xp+830+ink+cartridge+replacements&url=searchalias%3Delectronics&ref=nb\\_sb\\_noss](https://www.amazon.com/s?k=Epson+xp+830+ink+cartridge+replacements&url=searchalias%3Delectronics&ref=nb_sb_noss) (last accessed Oct. 14, 2019).

18. Similarly, Original Equipment Manufacturer (“OEM”) ink cartridges for the Epson WF-3640, ink code 252, cost approximately \$19.99 for a single black, or \$12.99 for a single cyan, magenta or yellow ink cartridge. A set of four standard

Color	Ink Code	Our Price	Change Qty
 Epson 252, Black and Color Ink Cartridges, C/M/Y/K 4-Pack	252	\$56.15	<input type="button" value="-"/> 0 <input type="button" value="+"/>
 Epson 252 Black Ink Cartridges, 2 Pack	252	\$37.99	<input type="button" value="-"/> 0 <input type="button" value="+"/>
 Epson 252, Black Ink Cartridge	252	\$19.99	<input type="button" value="-"/> 0 <input type="button" value="+"/>
 Epson 252, Cyan Ink Cartridge	252	\$12.99	<input type="button" value="-"/> 0 <input type="button" value="+"/>
 Epson 252, Magenta Ink Cartridge	252	\$12.99	<input type="button" value="-"/> 0 <input type="button" value="+"/>
 Epson 252, Yellow Ink Cartridge	252	\$12.99	<input type="button" value="-"/> 0 <input type="button" value="+"/>
 Epson 252, Color Ink Cartridges, C/M/Y 3-Pack	252	\$36.19	<input type="button" value="-"/> 0 <input type="button" value="+"/>

ink cartridges typically costs approximately \$56.15.<sup>3</sup>

19. Third-party ink cartridges for the Epson WF-3640 cost substantially less. For instance, on Amazon, it is possible to purchase a 5-pack of remanufactured ink cartridges for less than \$30.<sup>4</sup>

<sup>3</sup> Epson, Epson WorkForce WF-3640 All-in-One Printer Ink, <https://epson.com/InkFinder/i/C11CD16201> (last accessed Oct. 14, 2019).

<sup>4</sup> Amazon, [https://www.amazon.com/s?k=replacement+ink+WF-3640&ref=nb\\_sb\\_noss\\_2](https://www.amazon.com/s?k=replacement+ink+WF-3640&ref=nb_sb_noss_2) (last accessed Oct. 14, 2019).



20. In its 2018 Annual Report, Seiko Epson Corporation acknowledges that, “Third parties also supply ink cartridges and other printer consumables that can be used in Epson printers.”<sup>5</sup>

21. The Epson 2018 Annual Report further acknowledges that third-party ink cartridges could cut into its bottom line and could result in its losing market share.

22. In that report, however, Epson does not discuss disabling printers to increase market share. Instead, the report suggests more benign methods for achieving that goal such as “emphasiz[ing] the quality of genuine Epson brand” ink cartridges, “enhance[ing] customer experience and develop[ing] new products like printers with “high capacity ink tanks.” *Id.* Epson goes far beyond these measures. It actively interferes with the functioning of third-party ink cartridges installed in Epson printers, that it acknowledges “can be used” by installing firmware and software Updates that prevent them from working in order to quash competition.

<sup>5</sup> Seiko Epson Corporation, 2018 Annual Report (“Epson 2018 Annual Report”), 18, available at <https://global.epson.com/IR/library/pdf/ar2018.pdf> (last accessed Oct. 14, 2019).



## **The Firmware/Software Updates**

23. A printer owner must agree to Epson's software license when purchasing and using an Epson printer. The second paragraph of that license states that "Epson may, however, from time to time, issue updated versions of the Software and the Software may automatically connect to Epson or third-party servers via the Internet to check for available Updates to the Software, such as bug fixes, patches, upgrades, additional or enhanced functions, plug-ins and new versions (collectively, "Updates") and may either (a) automatically electronically update the version of the Software that you are using on your personal device or (b) give you the option of manually downloading applicable Updates."<sup>6</sup>

24. The Software License covers "any related documentation, firmware, or Updates."<sup>7</sup>

25. Certain updates require the user to agree to the EPSON EULA EN 10/30/2009 Seiko Epson Corporation Software License Agreement. That license does not discuss software Updates or fixes, except to state that any license includes any future Updates.

26. Under the terms of Epson's software licenses, Plaintiffs (and any other Epson printer user who downloaded Epson software) authorized Epson to access their printers to apply fixes and upgrades. The Software licenses do not alert owners that these software Updates might freeze their machine if they have third-party ink cartridges installed. Instead, they promise fixes and improvements.

27. Plaintiffs and other Epson printer owners *did not* authorize Epson to disable their printer to prevent them from using cheaper third-party alternatives to Epson's OEM ink cartridges. Instead, Epson exceeded its authority when it disabled their printers (and the Class and Subclasses' printers, as defined below.)

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<sup>6</sup> Epson, Software License Agreement, available at <https://epson.com/SoftwareLicenseAgreement> (last accessed Oct. 14, 2019).

<sup>7</sup> *Id.*



## **Epson's Misrepresentations and Omissions**

28. Epson made misrepresentations and omissions regarding Epson printers and the use of third-party ink cartridges.

29. Specifically, after Updates are installed to detect and disable third-party ink cartridges, Epson printers display a message claiming that the printer did not “recognize” a third-party ink cartridge when installed.

30. The error message that the printers displayed after Updates were installed, misrepresented the cause of the printer issue, suggesting that the previously functioning third-party cartridges were broken or not installed properly when, instead, the updated software simply disables replacement ink cartridges that would otherwise work.

31. These error messages are in direct contradiction to Epson's representations to Plaintiffs and class members that its software and firmware Updates were intended to fix or improve printer functionality.

32. Furthermore, Epson misrepresented to Plaintiffs and class members that third-party cartridges were incompatible, when those cartridges would have worked in Epson printers and were compatible until Epson intentionally altered the software that controlled Epson printers.

33. Epson neglected to inform Plaintiffs and others like them that accepting Updates would potentially disable their machine and, at the very least, force them into purchasing Epson ink cartridges.

34. Epson's decision not to inform Plaintiffs and others like them that the Updates would disable their printers if they attempted to use third-party ink cartridges was made and implemented from its headquarters in California.

## **Epson's Unfair and Oppressive Conduct**

35. Epson's actions were contrary to public policy as set forth in the federal Computer Fraud and Abuse Act (“CFAA”), 18 U.S. C. § 1030 and the California

1 Penal Code § 502, which prohibit computer intrusions that damage or disable  
2 computer equipment including Epson printers.

3 36. Epson used its ability to access and alter their programming to disrupt  
4 the functioning of the Epson Printers to suppress competition.

5 37. Epson's conduct caused harm to Plaintiffs and other Epson printer  
6 owners by forcing them to buy more expensive OEM cartridges and by rendering  
7 their less expensive cartridges useless as means for Epson to maintain its market  
8 share and profits.

9 38. There were reasonable alternatives to Epson's conduct, which are listed  
10 in the Epson 2018 Annual Report. Epson could have created reasons for Epson users  
11 to choose its ink cartridges. It could emphasize that Epson printers are of higher  
12 quality. Epson could have won over customers by providing superior customer  
13 experiences or by providing alternatives to its customers rather than by secretly  
14 causing functioning printers with functional ink cartridges installed to stop working.

15 39. Epson's conduct was intentional and designed to suppress competition,  
16 and resulted in forcing Epson customers to pay for Epson's more expensive ink.

17 40. Epson was able to take unfair advantage of Plaintiffs and others like  
18 them by rendering their printers non-functional and providing misleading error  
19 messages.

20 41. Epson's conduct was widespread, pervasive, and well-known by  
21 owners of Epson printers, as well as technology industry analysts and advocates.  
22 For example, on or about October 10, 2018, a letter was sent by the Electronic  
23 Frontier Foundation, a nonprofit public interest organization that defends the rights  
24 of technology users, to the Office of the Attorney General of Texas detailing Epson's  
25 conduct, as described herein, and asking the Attorney General to investigate Epson's  
26 practice of disabling printers when third-party ink cartridges are installed. A true  
27 and correct copy of the October 10, 2018 letter is attached hereto as **Exhibit 1**.  
28

1       42.     Additionally, individual accounts of having been victimized by Epson's  
2 conduct are widely available on consumer and technology websites. Some examples  
3 of these complaints are as follows:

4  
5             I Installed a firmware update, and now the printer can no  
6 longer "recognize" my print cartridges which had been  
7 working fine until the update. Word on the Internet is that  
8 Epson deliberately tries to punish people who buy  
9 replacement cartridges from other vendors, so they can  
10 sell their overpriced ones, and they do that by updating  
11 the firmware so that other vendor cartridges are "not  
12 recognized".

13             This is despicable. I will never buy another Epson  
14 product. They have even spawned a cottage industry that  
15 for \$5 sells a firmware downgrade.

16             It is cheaper to buy a new printer than to buy Epson  
17 replacement cartridges. Their business practices are  
18 predatory and should be illegal.

19             By: PissedConsumer1167767

20             Source: [https://epson.pissedconsumer.com/after-](https://epson.pissedconsumer.com/after-firmware-update-cartridges-not-recognized-201801121167767.html)  
21 [firmware-update-cartridges-not-recognized-](https://epson.pissedconsumer.com/after-firmware-update-cartridges-not-recognized-201801121167767.html)  
22 [201801121167767.html](https://epson.pissedconsumer.com/after-firmware-update-cartridges-not-recognized-201801121167767.html)

23             I am so disgusted with Epson right now. I purchased my  
24 Epson 446 printer. I purchased my ink. In no way should  
25 Epson be able to lock up my printer because I choose to  
26 use re-purposed ink cartridges. I bought this printer  
27 because I am a Girl Scout Leader/Volunteer who wears  
28 many hats. I need a printer that will hold up. I also need a  
printer for some small home jobs too. I was in the middle  
of a print job and locked up because I decided to run the  
firmware update and now it will not recognize my ink. I  
wasted money buying this printer because now I cannot  
do anything with it. I just purchased \$50+ in ink. I will  
not be forced to use Epson ink. I will go buy a new  
printer, and I will never recommend or buy another  
Epson product.

By: ColdBlackbird305

Source: <https://epson.pissedconsumer.com/review.html>

1 I do bookkeeping at home. I was working this weekend  
2 and noticed I had a download. I have always used off  
3 brand ink and have an entire box of it. As soon as I did  
4 the download my printer locked and said to replace all  
5 my inks. I feel I should be able to use any ink I choose. I  
6 feel like my printer is being held hostage. I feel like this  
7 is communism - you buy a product but it is really not  
8 yours. Needless to say I could continue to work or do  
9 payroll. I'm throwing it out the window. I will never buy  
10 another Epson product.

11 *By:* Peggy of Spartanburg, SC

12 *Source:*

13 <https://www.consumeraffairs.com/computers/epson.html>

14 I recently purchased a new Lenovo computer and  
15 installed my Epson Stylus SX 115 software onto it. All  
16 was fine until the black ink ran out. To make sure that the  
17 printer was entirely compatible with the new computer I  
18 decided to upgrade the driver before I replaced the black  
19 ink. I always use compatible inks, because Epson inks are  
20 so extortionately expensive, and have never had any  
21 problems with them. Accordingly, after I had installed  
22 the new driver, I replaced the black with a compatible in.

23 When I next went to print I received a message telling me  
24 that the black I had installed was not a genuine Epson ink  
25 and, after various other caveats, it asked me if I wished to  
26 continue anyway. I clicked the continue button and was  
27 then taken to a screen that said that the ink cartridge  
28 'cannot be recognised', and it showed a big cross over  
the black cartridge symbol. Since when I have been  
unable to print anything.

There has recently been a considerable number of  
complaints in the press about the practice of printer  
manufacturers refusing to recognize third-party inks  
when the firmware is updated over the internet. Only this  
month Which magazine has an article about it which tells  
me that such practices are against my consumer rights  
because I am entitled to use whichever inks I choose with  
my printer.

1 I therefore request that Epson immediately tell me how to  
2 resolve this problem so that I can get my printer working  
3 again with third-party inks. I am copying this to Which  
4 magazine, as they are asking to hear from people like me  
5 who have had such problems.

6 By: Cherry Lewis from Birmingham, ENG

7 Source: [https://www.hissingkitty.com/complaints-](https://www.hissingkitty.com/complaints-department/epson)  
8 [department/epson](https://www.hissingkitty.com/complaints-department/epson)

### 9 **Plaintiff Famiglietti's Experience**

10 43. Plaintiff Famiglietti purchased an Epson XP-830 in the beginning of  
11 2018 from OfficeMax.

12 44. Plaintiff Famiglietti considered several different printers but chose the  
13 Epson XP-830 because it had the features he was looking for.

14 45. At the time of the purchase, Plaintiff Famiglietti understood and  
15 believed that he would be able to use less expensive third-party ink cartridges. The  
16 Epson material he reviewed did not cause him to believe otherwise.

17 46. Plaintiff Famiglietti's Epson XP-830 uses 410 & 410XL cartridges. A  
18 couple of months after he purchased his Epson printer, Plaintiff Famiglietti  
19 purchased EZink remanufactured replacement cartridges from Ebay. They cost less  
20 than \$20.00 for a pack of four. Plaintiff Famiglietti purchased the non-Epson  
21 cartridges because they were less expensive than the Epson OEM ink cartridges.  
22 Epson cartridges would have cost significantly more.

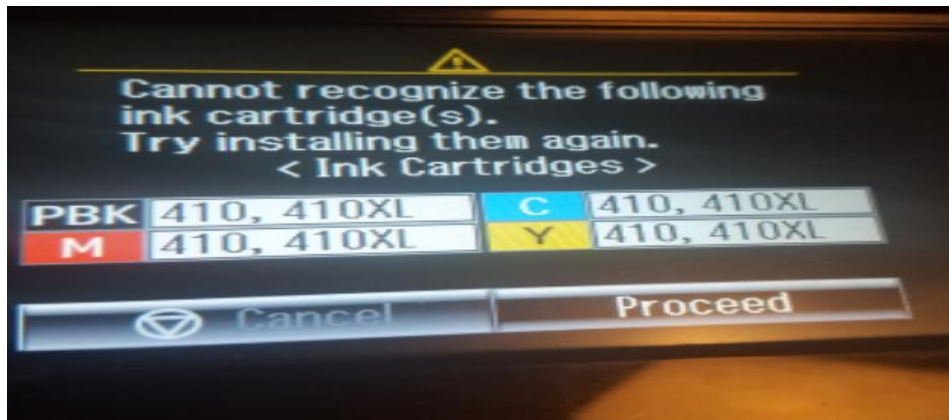
23 47. When his printer ran out of ink, Plaintiff Famiglietti replaced the Epson  
24 OEM cartridges with the EZink ink cartridges. The EZink cartridges worked for  
25 several months. He was able to print using his Epson XP-830 without interruption.

26 48. In March or April, Plaintiff Famiglietti received a message from Epson  
27 when he booted up his printer alerting him that there were available Updates for his  
28 printer. Plaintiff Famiglietti understood that the Updates would improve his  
printer's functionality. Plaintiffs proceeded to install the Updates.

49. After he installed the Updates, Plaintiff Famiglietti's Epson printer stopped printing.

50. The Updates included instructions that detected that Plaintiff Famiglietti had installed non-Epson ink cartridges in his XP-830 printer and disabled his printer.

51. After the firmware update, Plaintiff's XP-830 printer displayed an error message:



52. Plaintiff Famiglietti was unable to print using his Epson printer after the Updates were installed. He did not know that Epson would or that it, in fact did, use the Updates to access his printer and disable the ink cartridges.

53. Based on the error message his printer displayed, Plaintiff Famiglietti replaced all four ink cartridges with new EZink replacement cartridges. The printer still did not work and continued to display the same error message: "Ink Cartridges not recognized, replace the cartridges."

54. Plaintiff Famiglietti attempted to resolve the error message by unplugging the printer for five (5) minutes and plugging it back in. When prompted to install cartridges he reinstalled them. His printer still did not work and the same message appeared on the printer display.

55. Plaintiff Famiglietti later learned that Updates caused the problem and attempted to uninstall the Updates that Epson had remotely installed in his printer.



1 The new software prevented him from reverting to the previous version that did not  
2 disable the EZink ink cartridges.

3 56. Epson prevents Epson printer owners to roll back the firmware once it  
4 is installed. Plaintiff Famiglietti attempted to uninstall the software. When his  
5 printer powered up again, it contacted an Epson site and re-installed the Updates  
6 and, once again, disabled his printer.

7 57. Plaintiff Famiglietti learned that Epson ink cartridges include one or  
8 more sensor chips that track ink usage, report low-ink conditions, and balk at  
9 wrongly installed or otherwise unacceptable cartridges. By Epson's standards,  
10 "unacceptable" includes any consumables Epson itself did not manufacture.

11 58. Plaintiff Famiglietti had no reason to believe that Epson would exceed  
12 his permission to access his printer to cause him harm.

13 59. Plaintiff Famiglietti reasonably relied on Epson's promise to improve  
14 his printer's functioning by installing Updates.

15 60. Epson engaged in anti-competitive behavior, by limiting Plaintiff  
16 Famiglietti and other Epson Printer owners' choice by forcing them to purchase  
17 Epson OEM ink cartridges and to replace less expensive third-party ink cartridges.

18 61. Epson abused Plaintiff Famiglietti's permission to remotely install  
19 Updates or upgrades. Rather than fixing bugs, providing enhanced features or  
20 otherwise improving his printer's performance, Epson damaged his printer.

21 62. As a result of Epson's conduct, Plaintiff Famiglietti suffered harm.  
22 Epson's software update rendered his EZink ink cartridges useless and required him  
23 to replace them with more expensive ink cartridges. He has had to continue  
24 purchasing more expensive ink cartridges.

### 25 **Plaintiff Mondigo's Experience**

26 63. Plaintiff Mondigo purchased an Epson WorkForce WF-3640 All-in-  
27 One Printer in 2016 from Costco.



1           64. Plaintiff Mondigo considered several different printers but chose the  
2 Epson WorkForce WF-3640 All-in-One Printer because it had the features he was  
3 looking for.

4           65. At the time of the purchase, Plaintiff Mondigo believed that he would  
5 be able to use less expensive third-party ink cartridges in the future. The Epson  
6 material he reviewed did not cause him to believe otherwise.

7           66. Plaintiff Mondigo's Epson WorkForce WF-3640 All-in-One Printer  
8 uses 252XL cartridges. After his purchase, Plaintiff Mondigo replaced the printer's  
9 empty ink cartridges with new Epson cartridges and also with refilled Epson  
10 cartridges. Plaintiff Mondigo switched from these Epson products in 2018 when he  
11 purchased FreeSub replacement cartridges. Plaintiff Mondigo purchased the non-  
12 Epson cartridges because they were less expensive than the Epson ink cartridges.  
13 Epson cartridges would have cost significantly more.

14           67. To this end, when his printer ran out of ink in 2018, Plaintiff Mondigo  
15 replaced the Epson cartridges with the FreeSub ink cartridges. The FreeSub  
16 cartridges worked for multiple months. He was able to print using his Epson  
17 WorkForce WF-3640 All-in-One Printer without interruption.

18           68. At some point, Updates were installed on Plaintiff Mondigo's printer  
19 without his knowledge or consent.

20           69. The Updates included instructions that detected that Plaintiff Mondigo  
21 had installed non-Epson ink cartridges in his printer and disabled his printer.

22           70. After the Updates were installed, Plaintiff Mondigo received a message  
23 from Epson after turning on his Epson WorkForce WF-3640 All-in-One Printer. The  
24 error message instructed Plaintiff Mondigo to restart his printer. After he did so, the  
25 printer printed a test page but would not print any other job Plaintiff Mondigo sent  
26 it.

27           71. Plaintiff Mondigo attempted to fix his Epson WorkForce WF-3640 All-  
28 in-One Printer by restarting it, reinstalling the ink cartridges, and replacing the ink

1 cartridges. When these efforts failed, Plaintiff Mondigo purchased a replacement  
2 printer.

### 3 **V. CLASS ACTION ALLEGATIONS**

4 72. Plaintiffs bring this action, on behalf of themselves and all others  
5 similarly situated, as a class action under Rules 23(a) and (b)(3) of the Federal Rules  
6 of Civil Procedure.

7 73. Plaintiffs bring this action and seek to certify and maintain it as a class  
8 action on behalf of themselves and a Nationwide Class, as defined below, or in the  
9 alternative, on behalf of State Subclasses, as defined below.

#### 10 **A. The Nationwide Class**

11 74. The Nationwide Class (the “Class”) is initially defined as follows:

12 All United States residents who, within the applicable limitations period,  
13 owned or purchased an Epson Printer.

14 Excluded from the Nationwide Class are Defendants, their employees, co-  
15 conspirators, officers, directors, legal representatives, heirs, successors and wholly  
16 or partly owned subsidiaries or affiliated companies; class counsel and their  
17 employees; and the judicial officers or their immediate family members and  
18 associated court staff assigned to this case.

#### 19 **B. The State Subclasses**

20 75. In the alternative to the Nationwide Class, Plaintiffs alleges claims on  
21 behalf of a state-wide class for certain states (the “Subclasses”). The Subclasses are  
22 defined as follows:

#### 23 **The Connecticut Subclass**

24 All Connecticut residents who, within the applicable limitations period,  
25 owned or purchased an Epson Printer.

26 Excluded from the Connecticut Subclass are Defendants, their employees, co-  
27 conspirators, officers, directors, legal representatives, heirs, successors and wholly  
28 or partly owned subsidiaries or affiliated companies; class counsel and their

employees; and the judicial officers or their immediate family members and associated court staff assigned to this case.

### **The California Subclass**

All California residents who, within the applicable limitations period, owned or purchased an Epson Printer.

Excluded from the California Subclass are Defendants, their employees, co-conspirators, officers, directors, legal representatives, heirs, successors and wholly or partly owned subsidiaries or affiliated companies; class counsel and their employees; and the judicial officers or their immediate family members and associated court staff assigned to this case.

76. Plaintiffs reserve the right to re-define the Class and Subclasses prior to class certification, and thereafter, as necessary.

77. The members of the Class and Subclasses are so numerous that individual joinder is impracticable. Upon information and belief the Class and Subclasses include thousands of owners of Epson printers. Plaintiffs do not know the precise number of Class and Subclasses members, but they may be ascertained from Defendant's books and records.

78. There are numerous questions of law and fact common to Plaintiffs and the Class and Subclasses. Questions common to the Class and Subclasses predominate over any questions that may affect individual Class or Subclass members, including, but not limited to:

- a. Whether Epson violated the Computer Fraud and Abuse Act 18 U.S.C. § 1030;
- b. Whether Epson violated the California Computer Data Access And Fraud Act, Cal. Penal Code § 502;

- c. Whether Epson violated the California Unfair Competition Law, Cal. Bus. & Prof. Code §§ 17200 *et seq.*, and the California False Advertising Law, Cal. Bus. & Prof. Code §§ 17500, *et seq.*;
- d. Whether Epson violated the Connecticut Unfair Trade Practices Act, Conn. Gen. Stat. § 42-110a *et seq.*;
- e. Whether Epson made material misrepresentations and omissions regarding Plaintiff's and the Class and Subclasses' Epson printers;
- f. Whether Epson's disablement of consumers Epson printers constituted unfair or fraudulent practices under California law;
- g. Whether Epson's practices harmed Plaintiffs and Class and Subclass members;
- h. Whether Plaintiffs' and the Class and Subclass members' Epson printers are electronic or high speed data processing devices as defined in the Computer Fraud and Abuse Act;
- i. Whether Epson knowingly accessed Plaintiffs' and Class and Subclass members' Epson's printers;
- j. Whether Plaintiffs' and Class and Subclass members authorized Epson to access their Epson printers;
- k. Whether Epson exceeded its authority when it accessed Plaintiffs' and Class and Subclass members' Epson printers;

1. Whether Epson knowingly transmitted a program information, code, or command that damaged Plaintiffs' and Class and Subclass members' Epson printers;
- m. Whether Epson knowingly added, altered, deleted, or destroyed any data, computer software or program related to Plaintiffs' and Class and Subclass members' printers;
- n. Whether Epson knowingly disrupted the Plaintiffs' and Class and Subclass members' printers;
- o. Whether Plaintiffs' and Class and Subclass members are entitled to equitable relief;
- p. The proper measure of damages; and
- q. Whether Plaintiffs' and Class and Subclass are entitled to restitution, and if so, in what amount.

79. Plaintiffs' claims are typical of the claims of the Class and Subclasses he seeks to represent under FED. R. CIV. P. 23(a)(3) because Plaintiffs and members of the Class and Subclasses purchased and/or owned an Epson printer and have been subject to the same wrongful practices and have been harmed thereby in the same manner.

80. Plaintiffs will fairly and adequately represent and protect the interests of the Class and Subclasses as required by FED. R. CIV. P. 23(a)(4). Plaintiffs are adequate representatives of the Class and Subclasses because they have no interests that are adverse to the interests of the Class and/or Subclasses. Plaintiffs and their counsel are committed to the vigorous prosecution of this action and have the

1 financial resources to do so. Plaintiffs have retained counsel who are competent and  
 2 experienced in handling class action litigation on behalf of consumers and who do  
 3 not have any interest adverse or antagonistic to those of the Class and Subclasses.

4 81. A class action is superior to any other available means for the fair and  
 5 efficient adjudication of this controversy, and no unusual difficulties are likely to be  
 6 encountered in the management of this class action. The damages or other financial  
 7 detriment suffered by Plaintiffs and each Class and Subclass member are relatively  
 8 small compared to the burden and expense that would be required to individually  
 9 litigate their claims against Defendant, so it would be impracticable for each Class  
 10 and/or Subclass member to individually seek redress for Defendant's wrongful  
 11 conduct. Even if Class and Subclass members could afford individual litigation,  
 12 individualized litigation creates a potential for inconsistent or contradictory  
 13 judgments, and increases the delay and expense to all parties and the court system.  
 14 By contrast, the class action device presents far fewer management difficulties, and  
 15 provides the benefits of single adjudication, economy of scale, and comprehensive  
 16 supervision by a single court.

## 17 **FIRST CAUSE OF ACTION**

### 18 **VIOLATION OF THE COMPUTER FRAUD AND ABUSE ACT**

#### 19 **18 U.S.C. § 1030**

#### 20 **(ON BEHALF OF THE CLASS)**

21 82. Plaintiffs repeat and re-allege the allegations contained in the foregoing  
 22 paragraphs as if fully set forth herein.

23 83. The CFAA is a federal criminal statute that prohibits computer crimes,  
 24 including unauthorized access to a computer, or access that exceeds any  
 25 authorization and allows persons who have been damaged thereby to bring claims  
 26 under the CFAA.

27 84. The CFAA permits “any person who suffers damages or loss by reason  
 28 of a violation of this section and may maintain a civil action against the violator to

1 obtain compensatory damages and injunctive relief or other equitable relief.” 18  
2 U.S.C. § 1030 (g).

3 85. Plaintiffs and Class and Subclass members are “persons” under the  
4 CFAA, 18 U.S.C. § 1030(e)(12).

5 86. Plaintiffs’ and Class and Subclass members’ Epson printers are  
6 “computers” under the CFAA. Under the CFAA “the term ‘computer’ means an  
7 electronic, magnetic, optical, electrochemical, or other high speed data processing  
8 device performing logical, arithmetic, or storage functions, and includes any data  
9 storage facility or communications facility directly related to or operating in  
10 conjunction with such device.” 18 U.S.C. § 1030(e)(1). Epson printers are data  
11 processing devices and perform storage functions.

12 87. Plaintiffs’ and Class and Subclass members’ Epson printers are  
13 “protected computers” under the CFAA. They are “used in or affecting interstate or  
14 foreign commerce or communication.” 18 U.S.C. § 1030(e)(2)(B).

15 88. Under the CFAA, “the term ‘damage’ means any impairment to the  
16 integrity or availability of data, a program, a system, or information.”

17 89. The CFAA establishes liability against anyone who “knowingly causes  
18 the transmission of a program, information, code, or command, and as a result of  
19 such conduct, intentionally causes damage without authorization, to a protected  
20 computer.” 18 U.S.C. § 1030(a)(5)(A).

21 90. “[T]he term “exceeds authorized access” means to access a computer  
22 with authorization and to use such access to obtain or alter information in the  
23 computer that the accesser is not entitled so to obtain or alter.”

24 91. Epson knowingly and with authorization exceeded its authorized access  
25 to Plaintiffs and Class and Subclass members’ printers and caused damage.

26 92. Epson took advantage of its ability to access Epson printers and caused  
27 the Epson printers to stop working. Epson used its software and/or firmware  
28 Updates to “knowingly” transmit “a program, information, code, or command, and



1 as a result of such conduct” intentionally damaged Plaintiffs’ Epson printers as well  
 2 as the Class and Subclasses’ Epson printers. 18 U.S.C. § 1030(a)(5)(A).

3 93. Epson’s knowing intrusions into the Plaintiffs’ and the Class and  
 4 Subclasses’ Epson printers resulted in damage to Plaintiffs and Class members, by  
 5 using its Updates to disable Plaintiffs’ and Class member’s printers and by forcing  
 6 them to purchase more expensive third-party ink cartridges and preventing Plaintiffs  
 7 and Class members from using cheaper third-party ink cartridges.

8 94. Plaintiffs and Class members seek recovery of damages and all other  
 9 relief allowed under 18 U.S.C. § 1030(g).

10 **SECOND CAUSE OF ACTION**  
 11 **VIOLATION OF THE CONNECTICUT UNFAIR TRADE**  
 12 **PRACTICES ACT**  
 13 **CONN. GEN. STAT. § 42-110A *ET SEQ.***  
 14 **(ON BEHALF OF THE CONNECTICUT SUBCLASS)**

15 95. Plaintiff Famiglietti repeats and re-alleges the allegations contained in  
 16 paragraphs 1-113 above, as if fully set forth herein.

17 96. CUPTA prohibits “unfair methods of competition and unfair or  
 18 deceptive acts or practices in the conduct of any trade or commerce.” Conn. Gen  
 19 Stat. § 42-110b.

20 97. Plaintiff Famiglietti has suffered an ascertainable loss of money or  
 21 property as a result of Epson’s unfair methods of competition and unfair and  
 22 deceptive acts.

23 98. Epson’s acts offends public policy that prohibits knowing and or  
 24 unauthorized access to computers and printers, or access that exceeds any  
 25 authorization given to disable those devices in order to retain market share and  
 26 profits.

27 99. Epson’s practice of accessing Plaintiff’s and other Connecticut  
 28 Subclass’ to knowingly disable functioning third-party ink cartridges to force the

1 Plaintiff Famiglietti and the Connecticut Subclass to purchase Epson's more  
 2 expensive OEM ink cartridges and to eliminate competition from third-party ink  
 3 cartridge vendors is immoral, unethical, oppressive and unscrupulous.

4 100. Epson had reasonable alternatives to ensure its market share and  
 5 maintain or increase profits.

6 101. Epson engaged in deceptive business practices by misrepresenting the  
 7 functionality of third-party ink cartridges and the purpose of its Updates.

8 102. Epson mislead Plaintiff Famiglietti and the Connecticut Subclass by  
 9 leading its members to believe that third-party ink cartridges would damage their  
 10 printers and could not function when, in truth, those ink cartridges would not harm  
 11 their printers and did function until Epson disabled them. Epson intentionally caused  
 12 the third-party ink cartridges to malfunction.

13 103. Plaintiff Famiglietti and the Connecticut Subclass relied on Epson's  
 14 false and misleading statements and were harmed thereby.

15 104. The Connecticut Subclass is entitled to relief under CUPTA, including  
 16 damages, punitive damages, equitable and injunctive relief and attorneys' fees and  
 17 costs to the extent allowed.

### 18 **THIRD CAUSE OF ACTION**

#### 19 **VIOLATION OF THE CALIFORNIA UNFAIR COMPETITION**

#### 20 **LAW ("UCL")**

21 **(CAL. BUS. & PROF. CODE § 17200, *ET SEQ.*)**

22 **(ON BEHALF OF THE CALIFORNIA CLASS)**

23 105. Plaintiffs repeat and re-alleges the allegations contained in paragraphs  
 24 1-123 above, as if fully set forth herein.

25 106. Epson's headquarters are located in California and Epson regularly  
 26 conducts business throughout the State of California.

27 107. The conduct described herein emanated from Epson's California  
 28 headquarters

1 108. Epson must adhere to the requirements of the UCL.

2 109. The UCL prohibits acts of unfair competition, including unlawful,  
3 unfair or fraudulent business acts or practices.

4 110. Epson has engaged in unfair, unlawful or fraudulent business acts and  
5 practices in violation of the UCL, in that: (a) Epson's practices and conduct are  
6 immoral, unethical, oppressive and substantially harmful to Plaintiffs and the  
7 members of the California Subclass; (b) the justification for Epson's practices and  
8 conduct is outweighed by the gravity of the injury to Plaintiffs and the California  
9 Subclass; and (c) Epson's practices constitute unfair, fraudulent, untrue or  
10 misleading actions that exploit and mislead members of the public.

11 111. Epson's practices were unfair because it is unethical, immoral,  
12 oppressive, and substantially injurious to consumers for Epson to knowingly and  
13 intentionally disable functioning third-party ink cartridges to force Plaintiffs and the  
14 California Subclass members to purchase Epson's more expensive OEM ink  
15 cartridges and to eliminate competition from third-party ink cartridge vendors and  
16 to use Updates that were characterized as providing improvements and fixes to  
17 damage and disable Plaintiffs' and the California Subclass's Epson printers to force  
18 them to use Epson OEM ink cartridges.

19 112. The gravity of the harm resulting from Epson's conduct outweighs any  
20 possible utility of the conduct. Epson had reasonable alternatives to ensure its  
21 market share and maintain or increase profits.

22 113. Epson's practices were fraudulent because Plaintiffs and the California  
23 Subclass were deceived and likely to be deceived by Epson's misrepresentation  
24 regarding its Updates and the functionality of third-party ink cartridges.

25 114. The harm caused by these practices outweighs any possible utility such  
26 business practices could have.

115. Epson engaged in fraudulent business practices by misrepresenting the functionality of third-party ink cartridges and the purpose of its software and firmware Updates.

116. Epson's statements and representations would mislead a reasonable consumer into believing that it is not possible to use third-party ink in an Epson printer. Epson further mislead consumers by leading them to believe that its software and/or firmware Updates would improve their Epson printers' functionality. Instead they disabled the printers. Plaintiffs and the California Subclass reasonably relied on Epson's statements and purchased ink cartridges that Epson disabled or purchased more expensive Epson brand cartridges believing that the third-party ink cartridges were defective.

117. Epson's practices were unlawful because they violated the CFAA and the California Penal Code § 502.

118. Epson's conduct caused the California Subclass to suffer an injury in fact.

119. The California Subclass is entitled to relief under the UCL, including restitution, declaratory relief as well as attorneys' fees and costs to the extent allowed.

#### **FOURTH CAUSE OF ACTION**

#### **VIOLATION OF THE CALIFORNIA FALSE ADVERTISING LAW**

**("FAL")**

**(CAL. BUS. & PROF. CODE § 17500, *ET SEQ.*)**

**(ON BEHALF OF THE CALIFORNIA CLASS)**

120. Plaintiffs repeats and re-alleges the allegations contained in paragraphs 1-138 above, as if fully set forth herein.

121. Epson violated the FAL by using false and misleading advertising and statements and omitting material information.

122. Epson misled Plaintiffs and the California Subclass by leading its members to believe that third-party ink cartridges would damage their printers and could not function when, in truth, those ink cartridges would not harm their printers. Epson intentionally caused the third-party ink cartridges to malfunction.

123. As a direct and proximate result of Epson's false and misleading statements and advertising, the California Subclass suffered an injury in fact and lost money and property.

124. The California Subclass relied on Epson's false and misleading statements and were harmed thereby.

125. The California Subclass brings this action seeking to enjoin Epson from continuing to engage in its false and misleading statements and to require Epson to provide truthful and non-misleading information to consumers. The California Subclass seeks restitution of the monies Epson obtained as a result of its false and misleading advertising, with interest and an award of reasonable attorneys' fees and costs, under the applicable law.

## **FIFTH CAUSE OF ACTION**

### **VIOLATION OF CAL. PENAL CODE § 502**

#### **(ON BEHALF OF THE CALIFORNIA CLASS)**

126. Plaintiffs repeats and re-alleges the allegations contained in paragraphs 1-144 above, as if fully set forth herein.

127. Cal. Penal Code § 502 prohibits knowing access to computers, computer systems and networks.

128. The California Penal Code authorizes Epson printer owners to bring a civil action "against the violator for compensatory damages and injunctive relief or other equitable relief. Compensatory damages shall include any expenditure reasonably and necessarily incurred by the owner or lessee to verify that a computer system, computer network, computer program, or data was or was not altered, damaged, or deleted by the access." Cal. Penal Code § 502(d)(1).

1        129. Cal. Penal Code § 502(c)(1) makes it an offense to “[k]nowingly  
 2 access[] and without permission alter[], damage[], delete[], destroy[], or otherwise  
 3 uses any data, computer, computer system, or computer network in order to either  
 4 (A) devise or execute any scheme or artifice to defraud, deceive, or extort, or (B)  
 5 wrongfully control or obtain money, property, or data.”

6        130. Cal. Penal Code § 502(c)(4) makes it an offense to, “[k]nowingly  
 7 access[] and without permission add[], alter[], damage[], delete[], or destroy[] any  
 8 data, computer software, or computer programs which reside or exist internal or  
 9 external to a computer, computer system, or computer network.”

10        131. Epson accessed the California Subclass’s printers, in that Epson was  
 11 able “to gain entry to, instruct, cause input to, cause output from, cause data  
 12 processing with, or communicate with, the logical, arithmetical, or memory function  
 13 resources of a computer, computer system, or computer network.” Cal. Penal Code  
 14 § 502(b)(1).

15        132. Epson violated the Cal. Penal Code when it executed a scheme or  
 16 artifice and knowingly accessed the California Subclass’s printers and damaged and  
 17 interfered with them to “wrongfully control or obtain money, property or data” from  
 18 members of the California Subclass.

19        133. As a proximate result of Epson’s violation of Cal. Penal Code § 502,  
 20 the California Subclass were damaged and are entitled to compensatory damages,  
 21 equitable relief and reasonable attorneys’ fees.

## 22        **PRAYER FOR RELIEF**

23        WHEREFORE, Plaintiffs individually and on behalf of all others  
 24 similarly situated, respectfully request this Court enter a judgment against Defendant  
 25 in favor of Plaintiffs and grant the following relief:

26        A. Enter an Order certifying the proposed Class and Subclasses and  
 27 appointing Plaintiffs as Class Representatives;

28        B. Enter an Order issuing appropriate notice to the Class and Subclasses

1 at Defendant's expense;

2 C. Declare, adjudge and decree that Defendant violated the CFAA;

3 D. Declare, adjudge and decree that Defendant violated Cal. Penal Code §  
4 502.

5 E. Declare, adjudge and decree that Defendant's conduct as alleged herein  
6 is unlawful, unfair and/or deceptive;

7 F. Declare, adjudge and decree that Defendant engaged in unfair methods  
8 of competition and unfair and deceptive acts;

9 G. Declare, adjudge and decree that Defendant's advertising and  
10 statements were false and misleading;

11 H. Award Plaintiffs and the members of the Class and Subclasses  
12 compensatory and statutorily enhanced damages or compensation as provided for  
13 under law for each of the causes of action set forth above;

14 I. Award restitution and disgorgement of Defendant's revenues or profits  
15 from its illegal behavior described herein to Plaintiffs and members of the Class and  
16 Subclasses;

17 J. Award declaratory and injunctive relief as permitted by law or equity,  
18 including: enjoining Defendants from continuing the unlawful practices as set forth  
19 herein, and directing Defendants to identify, with Court supervision, victims of its  
20 conduct and to disgorge to them all monies acquired by Defendants by means of any  
21 act or practice declared by this Court to be wrongful or pay them restitution and  
22 change their business practices.

23 K. Award Plaintiffs and the Class and Subclasses reasonable attorneys'  
24 fees, costs and pre-and post-judgment interest; and

25 L. Award such other and further relief as the Court deems just and proper.  
26  
27  
28



1 Date: October 18, 2019

Respectfully submitted,

2 **CARLSON LYNCH LLP**

3 By: /s/ Eric D. Zard

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## CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

<b>I. (a) PLAINTIFFS</b> WILLIAM MONDIGO and RICHARD FAMIGLIETTI, individually and on behalf of all others similarly situated,  <b>(b)</b> County of Residence of First Listed Plaintiff _____ <i>(EXCEPT IN U.S. PLAINTIFF CASES)</i>  <b>(c)</b> Attorneys (Firm Name, Address, and Telephone Number) Carlson Lynch, LLP, 1350 Columbia Street, Suite 603, San Diego, CA 92101 (619) 762-1900			<b>DEFENDANTS</b> EPSON AMERICA, INC.  County of Residence of First Listed Defendant _____ <i>(IN U.S. PLAINTIFF CASES ONLY)</i>  NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE TRACT OF LAND INVOLVED.  Attorneys (If Known) <b>'19CV2009 BEN BGS</b>																										
<b>II. BASIS OF JURISDICTION</b> (Place an "X" in One Box Only)  <input type="checkbox"/> 1 U.S. Government Plaintiff <input checked="" type="checkbox"/> 3 Federal Question (U.S. Government Not a Party)  <input type="checkbox"/> 2 U.S. Government Defendant <input type="checkbox"/> 4 Diversity (Indicate Citizenship of Parties in Item III)			<b>III. CITIZENSHIP OF PRINCIPAL PARTIES</b> (Place an "X" in One Box for Plaintiff and One Box for Defendant) <table style="width:100%; border-collapse: collapse;"> <tr> <th style="width:30%;"></th> <th style="width:10%; text-align: center;">PTF</th> <th style="width:10%; text-align: center;">DEF</th> <th style="width:40%;"></th> <th style="width:10%; text-align: center;">PTF</th> <th style="width:10%; text-align: center;">DEF</th> </tr> <tr> <td>Citizen of This State</td> <td style="text-align: center;"><input checked="" type="checkbox"/> 1</td> <td style="text-align: center;"><input type="checkbox"/> 1</td> <td>Incorporated or Principal Place of Business In This State</td> <td style="text-align: center;"><input type="checkbox"/> 4</td> <td style="text-align: center;"><input checked="" type="checkbox"/> 4</td> </tr> <tr> <td>Citizen of Another State</td> <td style="text-align: center;"><input type="checkbox"/> 2</td> <td style="text-align: center;"><input type="checkbox"/> 2</td> <td>Incorporated and Principal Place of Business In Another State</td> <td style="text-align: center;"><input type="checkbox"/> 5</td> <td style="text-align: center;"><input type="checkbox"/> 5</td> </tr> <tr> <td>Citizen or Subject of a Foreign Country</td> <td style="text-align: center;"><input type="checkbox"/> 3</td> <td style="text-align: center;"><input type="checkbox"/> 3</td> <td>Foreign Nation</td> <td style="text-align: center;"><input type="checkbox"/> 6</td> <td style="text-align: center;"><input type="checkbox"/> 6</td> </tr> </table>				PTF	DEF		PTF	DEF	Citizen of This State	<input checked="" type="checkbox"/> 1	<input type="checkbox"/> 1	Incorporated or Principal Place of Business In This State	<input type="checkbox"/> 4	<input checked="" type="checkbox"/> 4	Citizen of Another State	<input type="checkbox"/> 2	<input type="checkbox"/> 2	Incorporated and Principal Place of Business In Another State	<input type="checkbox"/> 5	<input type="checkbox"/> 5	Citizen or Subject of a Foreign Country	<input type="checkbox"/> 3	<input type="checkbox"/> 3	Foreign Nation	<input type="checkbox"/> 6	<input type="checkbox"/> 6
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<b>CONTRACT</b> <input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 151 Medicare Act <input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excludes Veterans) <input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders' Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise		<b>TORTS</b> <table style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; vertical-align: top;"> <b>PERSONAL INJURY</b>  <input type="checkbox"/> 310 Airplane  <input type="checkbox"/> 315 Airplane Product Liability  <input type="checkbox"/> 320 Assault, Libel &amp; Slander  <input type="checkbox"/> 330 Federal Employers' Liability  <input type="checkbox"/> 340 Marine  <input type="checkbox"/> 345 Marine Product Liability  <input type="checkbox"/> 350 Motor Vehicle  <input type="checkbox"/> 355 Motor Vehicle Product Liability  <input type="checkbox"/> 360 Other Personal Injury  <input type="checkbox"/> 362 Personal Injury - Medical Malpractice           </td> <td style="width:50%; vertical-align: top;"> <b>PERSONAL INJURY</b>  <input type="checkbox"/> 365 Personal Injury - Product Liability  <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability  <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability  <b>PERSONAL PROPERTY</b>  <input checked="" type="checkbox"/> 370 Other Fraud  <input type="checkbox"/> 371 Truth in Lending  <input type="checkbox"/> 380 Other Personal Property Damage  <input type="checkbox"/> 385 Property Damage Product Liability           </td> </tr> </table>		<b>PERSONAL INJURY</b> <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault, Libel & Slander <input type="checkbox"/> 330 Federal Employers' Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury <input type="checkbox"/> 362 Personal Injury - Medical Malpractice	<b>PERSONAL INJURY</b> <input type="checkbox"/> 365 Personal Injury - Product Liability <input type="checkbox"/> 367 Health Care/Pharmaceutical Personal Injury Product Liability <input type="checkbox"/> 368 Asbestos Personal Injury Product Liability <b>PERSONAL PROPERTY</b> <input checked="" type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<b>FORFEITURE/PENALTY</b> <input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 690 Other  <b>LABOR</b> <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Management Relations <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 751 Family and Medical Leave Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Employee Retirement Income Security Act  <b>IMMIGRATION</b> <input type="checkbox"/> 462 Naturalization Application <input type="checkbox"/> 465 Other Immigration Actions																							
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<b>REAL PROPERTY</b> <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property		<b>CIVIL RIGHTS</b> <input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing/Accommodations <input type="checkbox"/> 445 Amer. w/Disabilities - Employment <input type="checkbox"/> 446 Amer. w/Disabilities - Other <input type="checkbox"/> 448 Education		<b>PRISONER PETITIONS</b> <b>Habeas Corpus:</b> <input type="checkbox"/> 463 Alien Detainee <input type="checkbox"/> 510 Motions to Vacate Sentence <input type="checkbox"/> 530 General <input type="checkbox"/> 535 Death Penalty <b>Other:</b> <input type="checkbox"/> 540 Mandamus & Other <input type="checkbox"/> 550 Civil Rights <input type="checkbox"/> 555 Prison Condition <input type="checkbox"/> 560 Civil Detainee - Conditions of Confinement																									
<b>BANKRUPTCY</b> <input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 157  <b>PROPERTY RIGHTS</b> <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 835 Patent - Abbreviated New Drug Application <input type="checkbox"/> 840 Trademark  <b>SOCIAL SECURITY</b> <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g))  <b>FEDERAL TAX SUITS</b> <input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant) <input type="checkbox"/> 871 IRS—Third Party 26 USC 7609		<b>OTHER STATUTES</b> <input type="checkbox"/> 375 False Claims Act <input type="checkbox"/> 376 Qui Tam (31 USC 3729(a)) <input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 450 Commerce <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Sat TV <input type="checkbox"/> 850 Securities/Commodities/Exchange <input type="checkbox"/> 890 Other Statutory Actions <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 896 Arbitration <input type="checkbox"/> 899 Administrative Procedure Act/Review or Appeal of Agency Decision <input type="checkbox"/> 950 Constitutionality of State Statutes																											
<b>V. ORIGIN</b> (Place an "X" in One Box Only) <input checked="" type="checkbox"/> 1 Original Proceeding <input type="checkbox"/> 2 Removed from State Court <input type="checkbox"/> 3 Remanded from Appellate Court <input type="checkbox"/> 4 Reinstated or Reopened <input type="checkbox"/> 5 Transferred from Another District (specify) <input type="checkbox"/> 6 Multidistrict Litigation - Transfer <input type="checkbox"/> 8 Multidistrict Litigation - Direct File																													
<b>VI. CAUSE OF ACTION</b>		Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity): <b>18 U.S.C. § 1030</b> Brief description of cause: <b>Computer Fraud, Unfair Trade Practices, Ca Unfair Competition Law, CA False Advertising, CA Penal Code 502</b>																											
<b>VII. REQUESTED IN COMPLAINT:</b>		<input checked="" type="checkbox"/> CHECK IF THIS IS A CLASS ACTION UNDER RULE 23, F.R.Cv.P. <b>DEMAND \$</b> _____ CHECK YES only if demanded in complaint: <b>JURY DEMAND:</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No																											
<b>VIII. RELATED CASE(S) IF ANY</b> (See instructions): JUDGE _____ DOCKET NUMBER _____																													
DATE 10/17/2019      SIGNATURE OF ATTORNEY OF RECORD /s/ Eric D. Zard																													
<b>FOR OFFICE USE ONLY</b> RECEIPT # _____ AMOUNT _____ APPLYING IFP _____ JUDGE _____ MAG. JUDGE _____																													

## INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

### Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- I.(a) Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
  - (b) County of Residence.** For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
  - (c) Attorneys.** Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction.** The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
- United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.
- United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.
- Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.
- Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; **NOTE: federal question actions take precedence over diversity cases.**)
- III. Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit.** Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: [Nature of Suit Code Descriptions](#).
- V. Origin.** Place an "X" in one of the seven boxes.
- Original Proceedings. (1) Cases which originate in the United States district courts.
- Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.
- Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.
- Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.
- Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.
- Multidistrict Litigation – Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407.
- Multidistrict Litigation – Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket.
- PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7.** Origin Code 7 was used for historical records and is no longer relevant due to changes in statute.
- VI. Cause of Action.** Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes unless diversity.** Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint.** Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.
- Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.
- Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases.** This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

**Date and Attorney Signature.** Date and sign the civil cover sheet.